## TRADEMONT THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Gary A. BRIST et al.

Serial No.:

09/963,637

NOV 1 9 2001

Filed:

September 27, 2001

For:

WAVEGUIDE IN A PRINTED CIRCUIT BOARD AND METHOD OF

FORMING THE SAME

Art Unit:

Unassigned

Examiner:

Unassigned

### LETTER SUBMITTING FORMAL DRAWINGS

Assistant Commissioner for Patents Washington, D.C. 20231

November 19, 2001

Sir:

In response to the Notice to File Missing Parts of a Non-Provisional Application, submitted herewith are six (6) sheets of formal drawings illustrating Figs. 1-4, 5A-5B, 6A-6C and 7-9 in connection with the above-identified application.

Respectfully submitted,

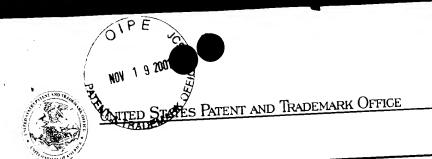
ANTONELLI, TERRY, STOUT & KRAUS, LLP

David C. Oren

Registration No. 38,694

DCO/dbp (703) 312-6600

Attachments



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/963,637

09/27/2001

Gary A. Brist

219.40432X00

**CONFIRMATION NO. 9725** FORMALITIES LETTER

020457 ANTONELLI TERRY STOUT AND KRAUS **SUITE 1800** 1300 NORTH SEVENTEENTH STREET ARLINGTON, VA 22209

\*OC000000006994339\*

circula la car 12/36

Date Mailed: 10/30/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the The oath or declaration is missing. above Application Number and Filing Date, is required.

• To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

11/20/2001 AADOFO1 00000025 09963637

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130.00 OP



Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



PTO/SB/17 (10-01) Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

### **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

Complete if Known 09/963,637 Application Number September 27, 2001 Filing Date First Named Inventor BRIST, GARY A. Unassigned **Examiner Name** 

	Examiner Name			110	Unassigned			
		Group Art Unit			Unassigned	Unassigned		
OTAL AMOUNT OF PAYMENT (\$) 170.00		Attorney Docket No. 219.40432X00						
METHOD OF PAYMENT				FE	E CALCULATION (continued)			
The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  Deposit Account Number 01-2135  Deposit Account Name Antonelli, Terry, Stout & Kraus, LLP	Fee	3. ADDITIONAL FEES  Large Small Entity Entity Fee Fee Fee Fee						
☑ Charge Any Additional Fee Required	Cod	le (\$)	Code	(\$)	Fee Description	Fee Paid		
Under 37 CFR 1.1 6 and 1.17	105	130	205	65	Surcharge - late filing fee or oath	130		
Applicant Claims small entity status. See 37 CFR 1.27	127	50	227		Surcharge – late provisional filing fee or cover sheet			
. 🔀 Payment Enclosed:	139	130	139	130	Non-English specification			
☐ Check ☐ Credit Card ☐ Money Order ☐ Other	147	2,520	147	2,520	For filing a request for ex parte reexamination			
FEE CALCULATION	112	920*	112	920*	Requesting publication of SIR prior to Examination action			
BASIC FILING FEE Large Entity Small Entity	113	1,840	113		*Requesting publication of SIR after Examiner action			
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	115	110	215	55	Extension for reply within first month			
101 740 201 370 Utility filing fee	116	400	216	200	Extension for reply within second month			
106 330 206 165 Design filing fee	117	920	217	460	Extension for reply within third month			
107 510 207 255 Plant filing fee	118	1,440	218	720	Extension for reply within fourth month			
108 740 208 370 Reissue filing fee	128	1,960	228	980	Extension for reply within fifth month			
114 160 214 80 Provisional filing fee	119	320	219	160	Notice of Appeal			
CURTOTAL (4)	120	320	220	160	Filing a brief in support of an appeal			
SUBTOTAL (1)	121	280	221	140	Request for oral hearing			
. EXTRA CLAIM FEES Fee from	138		138		Petition to institute a public use proceeding			
Extra Claims below Fee Paid	140		240		Petition to revive – unavoidable			
otal Claims 26 -20** = x =	141	1,280	241		Petition to revive – unintentional			
ndep. Claims 4-3** = x =	142	·	242		Utility issue fee (or reissue)			
uep. Ciairis +-0 - x -	143		243		Design issue fee			
lultiple Dependent =	144	620	244		Plant issue fee			
	122		122 123		Petitions to the Commissioner			
arge Entity Small Entity ee Fee Fee Fee Fee Description	123 126		123		Processing fee under 37 CFR 1.17(q) Submission of Information Disclosure Stmt			
ode (\$) Code (\$) 03 18 203 9 Claims in excess of 20	581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00		
02 84 202 42 Independent claims in excess of 3	146	740	246	370	For each additional invention to be examined (37 CFR § 1.129(a))	40.00		
04 280 204 140 Multiple dependent claim, if not paid	149	740	249	370	For each additional invention to be			
09 84 209 42 ** Reissue independent claims over original patent	179	740	279		examined (37 CFR § 1.129(b))  Request for Continued Examination (RCE)			
10 18 210 9 ** Reissue claims in excess of 20 and over original patent	169	900	169		Request for expedited examination of a design application			
SUBTOTAL (2) \$	Oth	er fee (spe	ecify)					

SUBMITTED BY		$\overline{)}$	د خار	Complete (if applicable)				
Name (Print/Type)	David	C. Ore	$\Pi$		Registration No. (Attorney/Agent)	38,694	Telephone	703-312-6600
Signature			$\prod$	1/2			Date	11/19/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Attn:

Customer Service Center Initial Patent Examination Division

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION - SUBMISSION OF LATE DECLARATION

Assistant Commissioner for Patents Washington, D. C. 20231

November 19, 2001

Sir:

In response to the Notice to File Missing Parts of Application mailed October 30, 2001 in connection with the above-identified application, Applicants are filing herewith an executed Declaration for patent application in compliance with 37 C.F.R. §1.63. In addition, Applicants are also submitting a credit card payment for \$130.00 to cover the surcharge for the filing of the belated Declaration in accordance with 37 C.F.R. §1.16(e).

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (219.40432X00) and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

David C. Oren

Registration No. 38,694

DCO/dbp Attachments